

The Categorical Abstract Machine

Kesha Hietala

Source Language

- $t ::= x$; variable
 - | c ; constant
 - | $\lambda x.t$; abstraction
 - | $(t t)$; application
 - | (t, t) ; pair
- Can add features like conditionals and data constructors later

Complexity of Computation

- functions can result from computation
- constructed functions may require environments

```
fun f x =                               val x = (f 2)
  let g y = x + y                       val y = (f 3)
  in g
```

- Solution is to use closures

Evaluation Model

- $\text{eval}: \text{expr} * \text{env} \rightarrow \text{expr}$

- Meanings of expressions:

$$[| x |] \rho = \rho(x)$$

$$[| c |] \rho = c$$

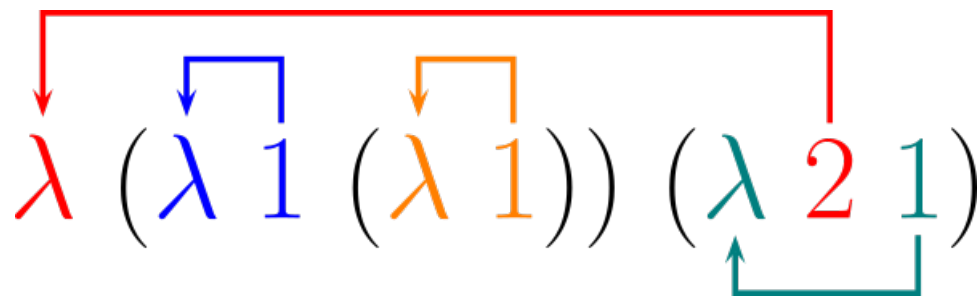
$$[| (M N) |] \rho = [| M |] \rho ([| N |] \rho)$$

$$[| \lambda x.M |] \rho d = [| M |] \rho[x \leftarrow d]$$

$$[| (M,N) |] \rho = ([| M |] \rho, [| N |] \rho)$$

De Bruijn Form

- Idea is to replace each name with a number recording the variable's binding height
- e.g. $\lambda z. (\lambda y. y (\lambda x. x)) (\lambda x. z x)$ becomes:



Modified Evaluation Model

- Using De Bruijn indices the environment becomes a simple list of values

$$[| 1 |] (\rho, d) = d \quad [| n+1 |] (\rho, d) = [| n |] \rho$$

$$[| c |] \rho = c$$

$$[| (M N) |] \rho = [| M |] \rho ([| N |] \rho)$$

$$[| \lambda.M |] \rho d = [| M |] (\rho, d)$$

$$[| (M, N) |] \rho = ([| M |] \rho, [| N |] \rho)$$

CAM Combinators

- Introduce a set of constants to encode meaning rules: \wedge , Fst, Snd, \circ , \langle , \rangle , $'$, App
- Rules for evaluation:

$$(x \circ y) z = x (yz)$$

$$\text{Fst } (x, y) = x$$

$$\text{Snd } (x, y) = y$$

$$\langle x, y \rangle z = \langle xz, yz \rangle$$

$$\text{App}(\wedge(x) y, z) = x (y, z)$$

$$('x) y = x$$

Translation into Combinatory Form

- Translation rules:

$$\mathbf{T}(1) = \text{Snd}$$

$$\mathbf{T}(n+1) = \mathbf{T}(n) \circ \text{Fst}$$

$$\mathbf{T}(c) = 'c$$

$$\mathbf{T}((M N)) = \text{App} \circ \langle \mathbf{T}(M), \mathbf{T}(N) \rangle$$

$$\mathbf{T}(\lambda.M) = \Lambda(\mathbf{T}(M))$$

- example:

$$\boxed{(\lambda x.+(1,x)) 2} \rightarrow \boxed{\text{App} \circ \langle \Lambda(\text{App} \circ \langle +, \langle '1, \text{Snd} \rangle \rangle), '2 \rangle}$$

CAM Model

- Consider evaluation of an application $(t_1 t_2)$
 1. save environment e
 2. evaluate t_1 to t_1'
 3. save t_1' and restore e
 4. evaluate t_2 to t_2'
 5. apply t_1' to t_2'
- This suggests a model using a term, code, and stack

CAM Instructions

- Goal: transform combinatory expressions into code for the CAM model
- A few examples:
 - $\text{App} \circ \langle t_1, t_2 \rangle \rightarrow [\text{push}, t_1^C, \text{swap}, t_2^C, \text{cons}, \text{app}]$
 - $t_1 \circ t_2 \rightarrow [t_2^C, t_1^C]$
 - $\Lambda(t) \rightarrow [\text{cur} [t^C]]$

Instruction Operational Semantics

Term	Code	Stack
(s, t)	<code>fst;C</code>	S
(s, t)	<code>snd;C</code>	S
s	<code>(quote c);C</code>	S
s	<code>(cur C);C1</code>	S
s	<code>push;C</code>	S
t	<code>swap;C</code>	$s.S$
t	<code>cons;C</code>	$s.S$
$(C:s, t)$	<code>app;C1</code>	S
(m, n)	<code>plus;C</code>	S



Term	Code	Stack
s	C	S
t	C	S
c	C	S
$(C:s)$	$C1$	S
s	C	$s.S$
s	C	$t.S$
(s, t)	C	S
(s, t)	$C;C1$	S
$m + n$	C	S

Translation to Code

$\mathbf{T}(\text{App}) = [\text{app}]$

$\mathbf{T}(M \circ N) = \mathbf{T}(N) + \mathbf{T}(M)$

$\mathbf{T}(\text{Snd}) = [\text{snd}]$

$\mathbf{T}(\text{Fst}) = [\text{fst}]$

$\mathbf{T}('c) = [\text{quote } c]$

$\mathbf{T}(\Lambda(M)) = [\text{cur}(\mathbf{T}(M))]$

$\mathbf{T}(\langle M, N \rangle) = [\text{push}] + \mathbf{T}(M) + [\text{swap}] + \mathbf{T}(N) + [\text{cons}]$

$\mathbf{T}(+) = [\text{plus}]$

Example

- **let** $x = +$ **in** x (4, (x where $x = 3$))

$(\lambda x.x (4, (\lambda x.x) 3)) +$

App $^{\circ}$ $\langle \Lambda(A), \Lambda(+^{\circ} \text{Snd}) \rangle$
where $A = \text{App}^{\circ} \langle \text{Snd}, \langle '4, B \rangle \rangle$
 $B = \text{App}^{\circ} \langle \Lambda(\text{Snd}), '3 \rangle$

[push, cur [push, snd, swap, push, quote 4, swap,
push, cur [snd], swap, quote 3, cons, app, cons,
cons, app], swap, cur [snd, plus], cons, app]

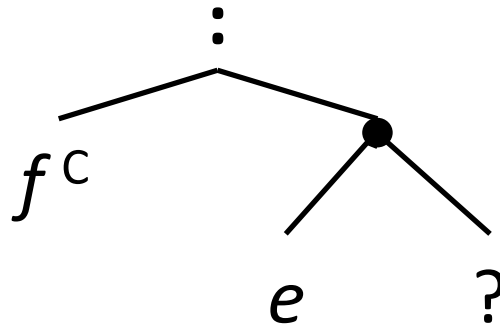
Adding Conditionals

- $\text{branch}(C_1, C_2)$:
 - Depending on whether the term is true or false, replace it with the environment at the top of the stack and execute C_1 or C_2
- **if t_1 then t_2 else t_3 ->**
[push, t_1^C , $\text{branch}(t_2^C, t_3^C)$]

Recursion

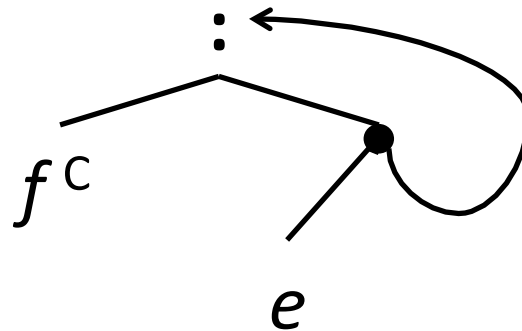
- e.g. **letrec** $f\ x = \dots (f\ 1)\dots$ **in** \dots
- Need to add some definition for f to the environment before evaluating the function body

[push, ?, cons, cur (f^c)]



Recursion (cont.)

[push, ?, cons, push, cur (f^C), wind]



Term	Code	Stack
s	wind;C	(t,?).S

➔

Term	Code	Stack
s[(t,?) <- (t,s)]	C	S

Factorial Example

- **letrec** *fact* *n* =
 if *n* = 0 **then** 1 **else** *n* * *fact* (*n* - 1)
 in (*fact* 1)

[push, push, **unit**, cons, push, cur *A*, **wind**, cons, push, snd, swap,
quote 1, cons, app]

A = [push, push, cur [snd, equals], swap, push, snd, swap,
quote 0, cons, cons, app, branch ([quote 1], *B*)

B = [push, cur [snd, times], swap, push, snd, swap, push, fst, snd,
swap, push, cur [snd, minus], swap, push, snd, swap, quote 1,
cons, cons, app, cons, app, cons, cons, app]

Questions?